

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Takehiko MAKITA et al.

Appl. No: NOT YET ASSIGNED

Confirmation No: NOT YET ASSIGNED

Filed: November 21, 2003

For: SURFACE TREATMENT METHOD  
FOR A COMPOUND  
SEMICONDUCTOR LAYER AND  
METHOD OF FABRICATION OF A  
SEMICONDUCTOR DEVICE

Art Unit: NOT YET ASSIGNED

Examiner: NOT YET ASSIGNED

Atty. Docket No: 31869-198826

Customer No:

26694

PATENT TRADEMARK OFFICE

Information Disclosure Statement

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This is an Information Disclosure Statement submitted under 37 C.F.R. § 1.97 within the time specified under 37 C.F.R. § 1.97(b).

In order to comply with the duty of disclosure under 37 C.F.R. § 1.56, the U.S. Patent and Trademark Office is notified of the documents which are listed on the attached Form PTO-1449 and which the Examiner may deem relevant to patentability of the claims of the above-identified application. One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed before the mailing date of the first Office Action on the merits, and therefore no Statement Under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

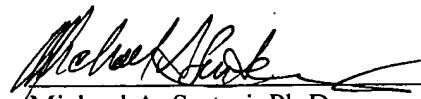
In view of the above, no further translation or statement of relevance is required, and

Disclosure Statements have been complied with, and it is therefore respectfully requested that the Examiner consider the documents and make them of record.

Please charge any necessary fee or credit any overpayment in connection with this Information Disclosure Statement to Deposit Account No. 22-0261.

Respectfully submitted,

Date: November 21, 2003



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PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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Substitute for form 1449A/PTO		<b><i>Complete if Known</i></b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		Application Number	NOT YET ASSIGNED
(use as many sheets as necessary)		Filing Date	November 21, 2003
		First Named Inventor	Takehiko MAKITA et al.
		Group Art Unit	NOT YET ASSIGNED
		Examiner Name	NOT YET ASSIGNED
Sheet	1	of	1
		Attorney Docket Number	31869-198826

#### **OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS**

Examiner Signature		Date Considered	
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**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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ATTORNEYS AT LAW